

Annexure A - Complaints Data



ROCKSTUD CAPITAL LLP PORTFOLIO MANAGEMENT SERVICES
(SEBI REGISTRATION NUMBER INP000007924)

Investor Complain data for the month ending September, 2024

| Sr. No. | Received from | Pending at the end of last month | Received | Resolved* | Total Pending# | Pending complaints > 3 months | Average Resolution time^ (in days) |
|---------|-------------------------|----------------------------------|----------|-----------|----------------|-------------------------------|------------------------------------|
| 1 | Directly from Investors | | | | | | |
| 2 | SEBI (SCORES) | | | | | | |
| 3 | SMART ODR | | | | | | |
| 4 | Other Sources (if any) | | | | | | |
| | Grand Total | | | | | | |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Investor Complain data trend of monthly disposal of complaints

| Sr. No. | Month | Carried forward from previous month | Received | Resolved* | Pending# |
|---------|--------------------|-------------------------------------|----------|-----------|----------|
| 1 | April, 2024 | 0 | 0 | 0 | 0 |
| 2 | May, 2024 | 0 | 0 | 0 | 0 |
| 3 | June, 2024 | 0 | 0 | 0 | 0 |
| 4 | July, 2024 | 0 | 0 | 0 | 0 |
| 5 | August, 2024 | 0 | 0 | 0 | 0 |
| 6 | September, 2024 | 0 | 0 | 0 | 0 |
| 7 | October, 2024 | | | | |
| 8 | November, 2024 | | | | |
| 9 | December, 2024 | | | | |
| 10 | January, 2025 | | | | |
| 11 | February, 2025 | | | | |
| 12 | March, 2025 | | | | |
| | Grand Total | | | | |

*Inclusive of complaints of previous months resolved in current month

Inclusive of complaints pending as on the last day of the month

Investor Complain data trend of annual disposal of complaints

| Sr. No. | Year | Carried forward from previous year | Received | Resolved** | Pending## |
|---------|--------------------|------------------------------------|----------|------------|-----------|
| 1 | 2022-23 | 0 | 0 | 0 | 0 |
| 2 | 2023-24 | 0 | 0 | 0 | 0 |
| 3 | 2024-25 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

**Inclusive of complaints of previous years resolved in the current year.

##Inclusive of complaints pending as on the last day of the year

Note: Investor Complain data is shown from FY22-23 onwards as it received SEBI approval on March 14, 2023.