Annexure B - Complaints Data



ROCKSTUD CAPITAL INVESTMENT FUND (SEBI REGISTRATION NUMBER IN/AIF2/18-19/0586)

Investor Complain data for the quarter ending December 2023

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3 months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days in the current quarter divided by total number of complaints resolved in the current quarter.

Investor Complain data trend of quarterly disposal of complaints

Sr. No.	Month	Carried forward from previous FY	Received	Resolved	Pending at the end of FY
1	Mar-23	0	0	0	0
2	Jun-23	0	0	0	0
3	Sep-23	0	0	0	0
4	Dec-23	0	0	0	0
	Grand Total	0	0	0	0

Investor Complain data trend of annual disposal of complaints for last three Financial Years (FY)

Sr. No.	Year	Carried forward from previous FY	Received	Resolved	Pending at the end of FY
1	2020-21	0	0	0	0
2	2021-22	0	0	0	0
3	2022-23	0	0	0	0
4	2023-24	0	0	0	0
	Grand Total	0	0	0	0

ROCKSTUD CAPITAL INVESTMENT FUND - II



(SEBI REGISTRATION NUMBER IN/AIF1/22-23/1217)

	Investor Complain data for data for the quarter ending December 2023								
Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3 months	Average Resolution time^ (in days)		
1	Directly from Investors	0	0	0	0	0	0		
2	SEBI (SCORES)	0	0	0	0	0	0		
3	Other Sources (if any)	0	0	0	0	0	0		
	Grand Total	0	0	0	0	0	0		

^ Average Resolution time is the sum total of time taken to resolve each complaint in days in the current quarter divided by total number of complaints resolved in the current quarter.

Investor Complain data trend of quarterly disposal of complaints

Sr. No.	Month	Carried forward from previous FY	Received	Resolved	Pending at the end of FY
1	Mar-23	0	0	0	0
2	Jun-23	0	0	0	0
3	Sep-23	0	0	0	0
4	Dec-23	0	0	0	0
	Grand Total	0	0	0	0

Investor Complain data trend of annual disposal of complaints for last three Financial Years (FY)

Sr. No.	Year	Carried forward from previous FY	Received	Resolved	Pending at the end of FY
1	2022-23	0	0	0	0
2	2023-24	0	0	0	0
	Grand Total	0	0	0	0

Note: Investor Complain data is shown from FY22-23 onwards as it has received SEBI approval on February 24, 2023.

ROCKSTUD CAPITAL LLP PORTFOLIO MANAGEMENT SERVICES



(SEBI REGISTRATION NUMBER INP000007924)

Investor Complain data for the month ending February 2024

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3 months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days in the current quarter divided by total number of complaints resolved in the current quarter.

Investor Complain data trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous FY	Received	Resolved	Pending at the end of FY
1	Apr-23	0	0	0	0
2	May-23	0	0	0	0
3	Jun-23	0	0	0	0
4	Jul-23	0	0	0	0
5	Aug-23	0	0	0	0
6	Sep-23	0	0	0	0
7	Oct-23	0	0	0	0
8	Nov-23	0	0	0	0
9	Dec-23	0	0	0	0
10	Jan-24	0	0	0	0
11	Feb-24	0	0	0	0
12	Mar-24				
	Grand Total	0	0	0	0

Investor Complain data trend of annual disposal of complaints for last three Financial Years (FY)

Sr. No.	Year	Carried forward from previous FY	Received	Resolved	Pending at the end of FY
1	2022-23	0	0	0	0
2	2023-24	0	0	0	0
	Grand Total	0	0	0	0

Note: Investor Complain data is shown from FY22-23 onwards as it has received SEBI approval on March 14, 2023.